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Telephone: 516-632-9039 Fax: 516-632-6963

December 17, 1997

Program Manager/Officer ONR: 313 Rabinder N. Madan Ballston Centre Tower One 800 North Quincy Street Arlington, VA 22217-5660

RE: N000149511217

Dear Dr. Madan:

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Enclosed is three copies of Dr. Rappaport's semi-annual Progress Report for the above mentioned award.

If you need any additional information, please call me at 516-632-9039.

Sincerely,

Karen Pfister

Sponsored Program Coordinator

xc: Ms. Angela Potter, Admin. Grants Officer (1)

Office of Naval Research

**Boston Regional Office** 

495 Summer Street, Room 103

Boston, MA 02210-2109

Defense Technical Information Center (2)

Bldg. 5, Cameron Station

Alexandria, VA 22304-6145

Dr. S. Rappaport

File 431-0454A

Director (1)

Naval Research Laboratory

Attn: Code 2627

Washington, DC 20375

# Form App Supp REPORT DOCUMENTATION PAGE IMB No ITS 1188 ict intover vition, knowding suggest ons for reduksing this bildefelt. I wake his gift mead Iway, Suite 1804, Affergton, VAI 222024802, and to the Diffile this viscement and A 2. REPORT DATE =GENCY USE ONLY (Leave blank) 3. REPORT TYPE AND DATES COVERED Progress Report - 30 June 97-31 Dec. 97 Dec. 31, 1997 4. TITLE AND SUBTITLE 5. FUNDING NUMBERS Mobile and Wireless Communications - Progress Report Grant # N0001495-11217 5. AUTHOR(S) Stephen S. Rappaport 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) 8. PERFORMING ORGANIZATION REPORT NUMBER Research Foundation State University of New York Progress Report Dec. '97 Stony Brook, NY 11794-2350 Grant 431-0454A 9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) 10. SPONSORING / MONITORING AGENCY REPORT NUMBER Office of Naval Research Resident Representative, New York 33 Third Avenue, Lower Level, New York, NY 10003-9998 11. SUPPLEMENTARY NOTES 12a. DISTRIBUTION / AVAILABILITY STATEMENT 12b. DISTRIBUTION CODE Approved for Public Releases; Distribution is unlimited 13. ABSTRACT (Maximum 200 words) This report lists publications, patents, presentations and honors related to an ONR sponsored research grant on Mobile and Wireless Communications. The investigation develops new techniques, as well as performance evaluation models and tools for mobile and wireless communication systems. Configurations that support a mixture of mobile platform types and mixed types of telecommunications traffic are emphasized. Channel assignment and cellular layout strategies are investigated and an analytical framework based on multi-dimensional birth-death processes is developed to encompass a broad range of relevant issues. Specific topics include consideration of new channel borrowing schemes, mixed satellite and terrestrial systems, and methods that support platforms with differing mobilities and mixed teletraffic sources, such as data, voice and images. Important recent contribuions include: (1) several important extensions of our analytical framework which allow platforms with highly variable mobility characteristics to be considered; (2) adaptive location management schemes for mobile communications: (3) performance models for mobile computing and communications; and, (4) cellular systems with multibeam antenna configurations.

14. SUBJECT TERMS 15. NUMBER OF PAGES Mobile communications, wireless communications, personal communications, cellular communications, traffic performance, 16. PRICE CODE mobility management, mobile networks. SECURITY CLASSIFICATION 18 SECURITY CLASSIFICATION 19. SECURITY CLASSIFICATION 2D. LIMITATION OF ABSTRACT OF REPORT OF THIS PAGE OF ABSTRACT unclassified unclassified unclassified SAR

# OFFICE OF NAVAL RESEARCH PUBLICATIONS / PATENTS / PRESENTATIONS / HONORS REPORT

## for

**30 June 1997 through 31 December 1997** 

R&T Number: Grant Number: Grant Title:	N00014-95-11217 MOBILE AND WIRELESS COMMUNICATIONS	
Principal Investigator:	Stephen S. Rappaport	
	Department of Electrical Engineering State University of New York Stony Brook, New York 11794-2350	
Phone Number:	(516) 632-8394	
Fax:	(516) 632-8494	
E-Mail Address:	rappaport@sunysb.edu	
a. Number of papers subm	nitted to refereed journals but not yet published:	7
b. Number of papers published in refereed journals: (list attached)		0
c. Number of books or chapters submitted but not yet published:		0
d. Number of books or chapters published: (list attached)		1
e. Number of printed technical reports & non-refereed papers: (list attached)		<u>6</u>
f. Number of patents filed: (list attached)		<u>0</u>
g. Number of patents granted: (list attached)		0
h. Number of invited prese	entations at workshops or professional society meetings.	0
i. Number of presentations	s at wrkshps or prof. soc. meetings. (list attached)	2
•	or Contract/Grant Employees: (List attached). awards/offices, Promotions, Faculty Award/Offices).	<u>5</u>
k. Total number of graduate students and post-docs supported at least 25% this year on this contract, grant: graduate students 5 and post-docs 0		
	dent female 0 dent minority 0	
grad stu	dent Asian e/n 4	
post-doc	e female 0 e minority 0	
	Asian e/n 0	

#### PUBLICATIONS, PATENTS, PRESENTATIONS, AND HONORS REPORT

#### b. Papers Published in Refereed Journals

#### d. Books or Chapters Published

[1] Y. Park and S.S. Rappaport, "Cellular Communication Systems with Voice and Background Data," *Mobile Multimedia Communications*, Plenum Publishers. November 1997.

#### e. <u>Technical Reports and Non-Refereed Papers</u>

- [1] D.Gu and S.S. Rappaport, "A Dynamic Location Tracking Strategy for Mobile Communication Systems," CEAS Technical Report No.741, July 24, 1997, College of Engineering and Applied Sciences, State University of New York, Stony Brook, NY 11794.
- [2] P.V. Orlik and S.S. Rappaport, "A Model for Teletraffic Performance and Channel Holding Time Characterization in Wireless Cellular Communications with General Session and Dwell Time Distributions," CEAS Technical Report No.742, July 31, 1997, College of Engineering and Applied Sciences, State University of New York, Stony Brook, NY 11794.
- [3] Guodong Zhang and Stephen S. Rappaport, "Simulation of Blocking, Hand-off and Traffic Performance for Cellular Communication Systems with Mixed Platforms," CEAS Technical Report No. 743, August 4, 1997, College of Engineering and Applied Sciences, State University of New York, Stony Brook, NY 11794.
- [4] Y. Park and S.S. Rappaport, "Performance Analysis of Session Oriented Data Communications for Mobile Computing in Cellular Systems," CEAS Technical Report No. 744, August 4, 1997, College of Engineering and Applied Sciences, State University of New York, Stony Brook, NY 11794.
- [5] J.-L. Pan, S.S. Rappaport, and P.M. Djuric, "Multibeam Cellular Communication Systems with Dynamic Channel Assignment across Multiple Sectors," CEAS Technical Report No. 749, October 22, 1997, College of Engineering and Applied Sciences, State University of New York, Stony Brook, NY 11794.
- [6] D. Gu and S.S. Rappaport, "Location Tracking in Hierarchical Cellular Systems: An Adaptive Algorithm," CEAS Technical Report No. 750, November 14, 1997, College of Engineering and Applied Sciences, State University of New York, Stony Brook, NY 11794.

#### f. Patents Filed

## g. Patents Granted

Rappaport Grant No.: N00014-95-11217

## i. Presentations at Workshops and Professional Society Meetings

- [1] H. Takanashi and S.S. Rappaport, "Analysis of a Simple PCS System with Nonuniformly Distributed Mobile Users," Proc. IEEE International Conference on Universal Personal Communications, ICUPC '97, San Diego, Oct. 12-16, 1997, pp. 572-576.
- [2] P.V. Orlik and S.S. Rappaport, "A Model for Teletraffic Performance and Channel Holding Time Characterization in Wireless Cellular Communication," Proc. IEEE International Conference on Universal Personal Communications, ICUPC '97, San Diego, Oct. 12-16, 1997, pp. 671-675. (with P.V. Orlik)

## j. Honors/Awards/Prizes

S.S. Rappaport

- [1] Technical Program Committee, ICUPC '97 San Diego.
- [2] Vice-Chair, Technical Program, ICUPC '98 Florence.
- [3] Editorial Board, Wireless Networks Journal.
- [4] State University of New York at Stony Brook, Faculty Senate.
- [5] Certificate of Appreciation for Service to IEEE Communications Society as Technical Committee Chair (received November 1997).